

25th November 2019

Our ref: FOI 03382

Dear,

Thank you for your request for information.

Your Request & Our Response

Please reply to the following questions in relation to the last 3 full financial years for the Trust (I refer to this as being “the Period” in the text of the questions).

1. How many clinical incidents were recorded in the Local Risk Management Reporting System (LRMS) during the Period?	
Financial Year	Number of Patient Safety Incidents Reported
April 16 - March 17	16,309
April 17 - March 18	21,567
April 18 - March 19	22,875
2. How many of these were recorded as being incidents of a life-threatening or otherwise serious nature?	Of the above incidents, the Trust investigated 140 as Serious Incidents relating to patient harm.
3. During the Period, how many cases have occurred (whether or not also recorded in the LRMS) whereby an employee or other worker (all of whom for the purpose of this FOI request I shall call Workers) has raised a concern whether about patient safety, clinical or non-clinical malpractice or any other matter? Note: for the purpose of clarity, I refer here to cases which would usually be considered to be “whistleblowing” cases (this may be the case regardless of whether the concern was	34 workers raised concerns within 11 cases over the 3 financial years

Chairman: Pearse Butler

Chief Executive: Kevin McGee (Interim)

RESEARCH MATTERS AND SAVES LIVES - TODAY'S RESEARCH IS TOMORROW'S CARE

Blackpool Teaching Hospitals is a Centre of Clinical and Research Excellence providing quality up to date care. We are actively involved in undertaking research to improve treatment of our patients. A member of the healthcare team may discuss current clinical trials with you.



<p>raised internally, with a line manager or other person within the Trust, or externally, with another organisation such as another NHS body or a regulator such as the CQC). I will refer to these cases in the questions below as Whistleblowing Cases and to the Workers who raise the concern as Whistleblowing Workers. I have tried to explain in some detail in order to be as clear as possible about my enquiry and to assist you in responding accurately.</p>	
<p>4. Of the Whistleblowing Workers who raised concerns during the Period, how many are still working for the Trust and how many are no longer working for the Trust?</p>	<p>We had two resignations, the remaining 32 workers are still at the Trust</p>
<p>5. Of the Whistleblowing Cases which arose during the Period:</p>	
<p>5.1 how many were resolved satisfactorily (by which I mean any clinical, malpractice or other issues were addressed and any dispute with the Whistleblowing Worker was amicably resolved)?</p>	<p>2</p>
<p>5.2 in how many cases were Employment Tribunal (ET) proceedings or other legal proceedings started?</p>	<p>None</p>
<p>5.3 how many were resolved by ACAS intervention before the ET or other hearing?</p>	<p>None</p>
<p>5.4 how many were the subject of other attempts at mediation or other form of alternative dispute resolution (eg clinical early evaluation), whether by internal NHS Staff who mediated or by an external mediation provider such as CEDR (the Centre for Effective Dispute Resolution)? I refer to these below as ADR Cases;</p>	<p>None</p>
<p>5.5 how many went to a full ET hearing?</p>	<p>None</p>
<p>6. In relation to the ADR Cases:</p>	
<p>6.1 how many were the subject of a mediation at any stage?</p>	<p>N/A</p>
<p>6.2 how many were the subject of another form of alternative dispute resolution (eg arbitration) at any stage?</p>	<p>N/A</p>
<p>6.3 how many were resolved by means of the mediation or other form of alternative dispute resolution?</p>	<p>N/A</p>
<p>6.4 does the Trust use internal NHS mediators and are they formally qualified as mediators? If so, how many ADR Cases did they settle during the Period?</p>	<p>N/A</p>
<p>6.5 does the Trust engage external</p>	<p>N/A</p>

mediation providers such as CEDR (see above)? If so, how many ADR Cases did they settle during the Period?	
7. Does the Trust have a main board director with explicit responsibility for monitoring and reviewing Whistleblowing Cases and if so:	Yes, We also have a Non-Executive Director who has Freedom to Speak Up Guardian (FTSUG) in their portfolio
7.1 what is his/her name?	Kevin Moynes Executive Director for Workforce & OD Michael Hearty Non-Executive Director
7.2 is he/she an executive or non-executive director?	Both
7.3 how many Whistleblowing Cases were referred to the director during the Period?	The FTSUG prepares a report to the Board and also reports all FTSU concerns to the Audit Committee on an annual basis
7.4 does the director issue a report (eg annually) as to his/her findings? If so, please provide a copy of any such report issued during the Period;	No the report is produced by the FTSUG who reports their findings to the Board.
7.5 is any report issued by the director made public or shared with any third parties and if so, who?	No report is issued by the Director.
8. Does the Trust have a whistleblowing policy? How many concerns were raised under the policy in each of the last 3 full financial years?	I can confirm our Trust does have a whistleblowing Policy April 2016 – March 2017 = 4, April 2017 – March 2018 = 2, April 2018 – March 2019 = 5
9. Please provide the name and full contact details for the Local Freedom to Speak Up Guardian (the Local Guardian) for the Trust. Is the Local Guardian a main board director of the Trust?	Terri Vaselli 01253 300000 Our Trust local Guardian is not a main board member.
10. How much did the Trust spend during the Period on legal and other professional fees for advice in connection with Whistleblowing Cases;	From an HR perspective nothing spent
11. How much did the Trust spend during the Period in making compromise or settlement payments to Whistleblowing Workers to settle their cases?	None
12. Did the Trust enter into any Confidentiality Clauses or Non-Disclosure Agreements with Whistleblowing Workers during the Period and if so how many?	No

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The Information Governance Manager
Blackpool Teaching Hospitals NHS Foundation Trust
Blackpool Victoria Hospital
Whinney Heys Rd
Blackpool
FY3 8NR
Email: bfwh.pso@nhs.net

If you remain unhappy, you may make a complaint to the Information Commissioner's Office.

Yours Sincerely,

Stephen Connor
Freedom of Information Manager