

This template may be used by Foundation trusts and NHS trusts to record the self-certifications that must be made under their NHS Provider Licence.  
You do not need to return your completed template to NHS Improvement unless it is requested for audit purposes.

## **Self-Certification Template - Conditions G6 and CoS7**

Blackpool Teaching Hospitals NHS Foundation Trust

*Insert name of organisation*



Foundation Trusts and NHS trusts are required to make the following self-certifications to NHS Improvement:

*Systems or compliance with licence conditions - in accordance with General condition 6 of the NHS provider licence*

*Availability of resources and accompanying statement - in accordance with Continuity of Services condition 7 of the NHS provider licence (Foundation Trusts designated CRS providers only)*

These self-certifications are set out in this template.

### **How to use this template**

- 1) Save this file to your Local Network or Computer.
- 2) Enter responses and information into the yellow data-entry cells as appropriate.
- 3) Once the data has been entered, add signatures to the document.

**Declarations required by General condition 6 and Continuity of Service condition 7 of the NHS provider licence**

The board are required to respond "Confirmed" or "Not confirmed" to the following statements (please select 'not confirmed' if confirming another option). Explanatory information should be provided where required.

**1 & 2 General condition 6 - Systems for compliance with licence conditions (FTs and NHS trusts)**

1 Following a review for the purpose of paragraph 2(b) of licence condition G6, the Directors of the Licensee are satisfied that, in the Financial Year most recently ended, the Licensee took all such precautions as were necessary in order to comply with the conditions of the licence, any requirements imposed on it under the NHS Acts and have had regard to the NHS Constitution.

Confirmed

OK

**3 Continuity of services condition 7 - Availability of Resources (FTs designated CRS only)**

**EITHER:**

3a After making enquiries the Directors of the Licensee have a reasonable expectation that the Licensee will have the Required Resources available to it after taking account distributions which might reasonably be expected to be declared or paid for the period of 12 months referred to in this certificate.

Please Respond

**OR**

3b After making enquiries the Directors of the Licensee have a reasonable expectation, subject to what is explained below, that the Licensee will have the Required Resources available to it after taking into account in particular (but without limitation) any distribution which might reasonably be expected to be declared or paid for the period of 12 months referred to in this certificate. However, they would like to draw attention to the following factors (as described in the text box below) which may cast doubt on the ability of the Licensee to provide Commissioner Requested Services.

Confirmed

Please fill details in cell E22

**OR**

3c In the opinion of the Directors of the Licensee, the Licensee will not have the Required Resources available to it for the period of 12 months referred to in this certificate.

Please Respond

**Statement of main factors taken into account in making the above declaration**

In making the above declaration, the main factors which have been taken into account by the Board of Directors are as follows:

On the 15 June 2021, the Trust's Audit Committee considered the Going Concern Assessment. In accordance with the requirements of the DHSC Group Accounting Manual ("GAM"), the Trust has prepared its accounts on a going concern basis, applying the 'continuing provision of services' approach. After making enquiries, the directors have a reasonable expectation that the services provided by the Trust will continue to be provided by the public sector for the foreseeable future and the directors have adopted the going concern basis in preparing the accounts.

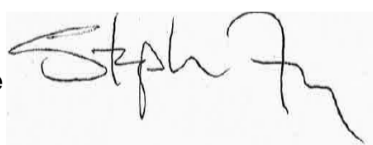
Operational guidance was issued by NHSE&I on 25 March 2021 stating that financial arrangements from H2 of 2020/21 would continue into H1 of 2021/22. The financial arrangements are based on system financial envelopes consisting of nationally calculated block payments from commissioners, system top-up to support delivery of a system break even position, system growth funding and a system COVID funding allocation. The financial plan for H1 of 2021/22 is break even, which includes:

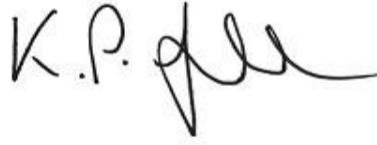
- 1. The income and costs to deliver the restoration of planned activities;
- 2. A 3% efficiency target in H1 plans (£8.7m).

At the time of this declaration, the key financial risks facing the Trust in this declaration are:

- There is no further guidance on the financial arrangements for H2 of 2021/22 impacting on the 12 month view; Elements of the System resource is non-recurrent in nature; The drive to restore planned activities is impacting upon the Trust's management attention on the efficiency requirements for the year;
  - The Trust does not have formal agreement in place for revenue support during 2021/22. The Trust will continue to share 13 week cashflows with NHSE&I to ensure a swift response to a forecasted negative cash balance.
- These key risks with associated assurance levels were discussed at the Operations Committee in June 2021.

Signed on behalf of the board of directors, and, in the case of Foundation Trusts, having regard to the views of the governors

Signature 

Signature 

Name: Steve Fogg

Name: Kevin McGee

Capacity: Chairman

Capacity: Chief Executive

Date: 01 July 2021

Date: 01 July 2021

Further explanatory information should be provided below where the Board has been unable to confirm declarations under G6.