

This template may be used by Foundation trusts and NHS trusts to record the self-certifications that must be made under their NHS Provider Licence.
You do not need to return your completed template to NHS Improvement unless it is requested for audit purposes.

Self-Certification Template - Conditions G6 and CoS7

Blackpool Teaching Hospitals NHS Foundation Trust

Insert name of organisation



Foundation Trusts and NHS trusts are required to make the following self-certifications to NHS Improvement:

Systems or compliance with licence conditions - in accordance with General condition 6 of the NHS provider licence

Availability of resources and accompanying statement - in accordance with Continuity of Services condition 7 of the NHS provider licence (Foundation Trusts designated CRS providers only)

These self-certifications are set out in this template.

How to use this template

- 1) Save this file to your Local Network or Computer.
- 2) Enter responses and information into the yellow data-entry cells as appropriate.
- 3) Once the data has been entered, add signatures to the document.

Declarations required by General condition 6 and Continuity of Service condition 7 of the NHS provider licence

The board are required to respond "Confirmed" or "Not confirmed" to the following statements (please select 'not confirmed' if confirming another option). Explanatory information should be provided where required.

1 & 2 General condition 6 - Systems for compliance with licence conditions (FTs and NHS trusts)

1 Following a review for the purpose of paragraph 2(b) of licence condition G6, the Directors of the Licensee are satisfied that, in the Financial Year most recently ended, the Licensee took all such precautions as were necessary in order to comply with the conditions of the licence, any requirements imposed on it under the NHS Acts and have had regard to the NHS Constitution.

Confirmed

OK

3 Continuity of services condition 7 - Availability of Resources (FTs designated CRS only)

EITHER:

3a After making enquiries the Directors of the Licensee have a reasonable expectation that the Licensee will have the Required Resources available to it after taking account distributions which might reasonably be expected to be declared or paid for the period of 12 months referred to in this certificate.

Please Respond

OR

3b After making enquiries the Directors of the Licensee have a reasonable expectation, subject to what is explained below, that the Licensee will have the Required Resources available to it after taking into account in particular (but without limitation) any distribution which might reasonably be expected to be declared or paid for the period of 12 months referred to in this certificate. However, they would like to draw attention to the following factors (as described in the text box below) which may cast doubt on the ability of the Licensee to provide Commissioner Requested Services.

Confirmed

Please fill details in cell E22

OR

3c In the opinion of the Directors of the Licensee, the Licensee will not have the Required Resources available to it for the period of 12 months referred to in this certificate.

Please Respond

Statement of main factors taken into account in making the above declaration

In making the above declaration, the main factors which have been taken into account by the Board of Directors are as follows:

On the 15 June 2020, the Trust's Audit Committee considered the Going Concern Assessment which articulated the following risks to the continuity of service declaration.
 The Trust has followed the Response to COVID-19 financial guidance for the period April to July and is reporting a break-even financial performance inclusive of monthly block payment, a monthly top-up payment, a funding application for COVID related expenditure and a further funding application to break-even.
 In line with the national guidance, the Trust has reduced the creditor terms from over 30 days down to 7 days. Whilst this has had a significant impact on the Trust's cash balance, it has supported the clinical and non-clinical suppliers especially sub-contractors.
 At the time of this declaration, the key financial risks facing the Trust in this declaration are:
 • There is no further guidance on the financial funding mechanism for the period August 2020 to March 2021 and beyond;
 • Since the suspension of Operational Planning, the Trust does not have clinical contracts in place for 2020/21;
 • The Trust has developed a System Improvement Plan, and has not secured the funding which is therefore adding to the financial deficit;
 • The Trust had originally planned to deliver a 3% Quality and Efficiency Programme, which was suspended for April to July. With the phased approach to restoration and changes to the planned activities landscape, the Trust cannot provide assurance that the Quality and Efficiency target will be met; and
 • The Trust does not have formal agreement in place for revenue support during 2020/21. The Trust will continue to share 13 week cashflows with NHSI&Es Cash and Capital Team to ensure a swift response to a forecasted negative cash balance.
 These key risks with associated assurance levels were discussed at the Operations Committee in June 2020.

Signed on behalf of the board of directors, and, in the case of Foundation Trusts, having regard to the views of the governors

Signature

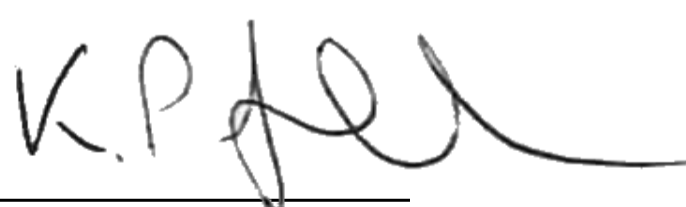


Name: Pearse Butler

Capacity: Chairman

Date: 02 July 2020

Signature



Name: Kevin McGee

Capacity: Chief Executive

Date: 02 July 2020

Further explanatory information should be provided below where the Board has been unable to confirm declarations under G6.